WIL-107US

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

William T. Wilkinson

: Art Unit: 2122

RECEIVED

Serial No.:

09/771,286

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MAY 2 3 2001

Filed:

January 26, 2001

: Examiner: Unknown

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For:

RESEARCH AND

**DEVELOPMENT PROCESS** 

Technology Center 2100

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

## SIR:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

More than three months have elapsed since the filing of the above-referenced application. No first Office Action has yet been received and it is presumed that none has yet been mailed. Furthermore, all of the references disclosed herein were cited in a communication from a foreign patent office in a counterpart foreign application within the last three months. Accordingly, a certification, as specified in 37 C.F.R. § 1.97(c), is provided herewith. Therefore, no fee is required, even if an Official Action has been mailed.

It is noted that the PCT Search Report cites an article titled "Money Talks" (item 6 listed in the "Other Documents" section of Form 1449) with slightly different bibliographic information than the actual document supplied by the Search Authority. Form 1449 reflects what is believed to be the correct bibliographic information for the article actually provided by the Search Authority

# CERTIFICATION

The undersigned, an attorney registered to practice before the U.S. Patent and Trademark Office, hereby certifies that each reference disclosed in the subject Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months before the filing of this Information Disclosure Statement.

Respectfully submitted,

**RATNER & PRESTIA** 

Costas S. Krikelis, Rég. No. 28,028 Rex A. Donnelly, Reg. No. 41,712

Attorneys for Applicant

Enclosures: PTO Form 1449;

Copy of (12) References

Dated: May 18, 2001

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The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: May 18, 2001

Ruth Curran

#### FORM PTO-1449 Sheet <u>1</u> of <u>1</u> ATTY. DOCKET NO. **SERIAL NO** U.S. DEPARTMENT OF M PTO-1449 COMMERCE 09/771,286 WIL-107US PATENT AND TRADEMARK RECEIVED (Rev. 2-32) OFFICE **APPLICANT** MAY 2 3 2001 Information Disclosure Statement by Applicant William T. Wilkinson Technology Center 2100 (Use several sheets if necessary) FILING DATE **GROUP** 2122 January 26, 2001

### **U.S. PATENT DOCUMENTS**

Exmr Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
	5,239,460	08/1993	LaRoche	364	401	
	5,483,444	01/1996	Heintzeman et al.	364	401	
	5,537,314	07/1996	Kanter	364	406	
	6,055,511	04/2000	Luebbering et al.	705	14	
	6,061,660	05/2000	Eggleston et al.	705	14	

### FOREIGN PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Country	Class	Sub Class	Translation YES   NO
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					<u>L</u>	<u> </u>

### **OTHER DOCUMENTS**

(Including Author, Title, Date, Pertinent Pages, Etc.)

1)	"Flowing Past Organizational Walls, A Change Agent Offers Five Steps for Improving Cross-Functional
	Collaboration," by Sandra S. Donovan, Research • Technology Management, July-August 1993, pp 30-3
2)	"Improving the Cost and Schedule Control System," by Mohammed S. El-Mashaleh and Dr. Allan D.
	Chasey, PE, Cost Engineering, Vol. 41/No. 7, July 1999, pp 39-41
 3)	"Locus of Control and Incentive in Self-Managing Teams," by Bonnie E. Garson, Douglas J. Stanwyck,
<u> </u>	Human Resource Development Quarterly, Vol. 8, No. 3, Fall 1997, pp 247-258
 4)	"Moral Hazard, Ethical Considerations, and the Decision to Implement an Information System," by Brad
<u> </u>	Tuttle, Adrian Harrell, and Paul Harrison, Journal of Management Information System," Spring 1997,
	Vol. 13, No. 4, pp 7-27
5)	"Project Teams: The Role of the Project Office," by Quentin W. Fleming and Joel M. Koppelman, PE,
	Cost Engineering, Vol. 40, No. 8, August 1998, pp 33-36
6)	"Money Talks," by Mark H. Edwards, Small Business Reports, New York, Feb. 1992, pp 50-58
 7)	International Search Report dated April 26, 2001 to Application No. PCT/US01/02528 (corresponding
<u> </u>	PCT application)

Examiner	Date Considered
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Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.